







ATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

www.uspto.gov ATTORNEY DOCKET NUMBER

MOBER FILING/RECEIPT DATE

11/20/2001

FIRST NAMED APPLICANT Paul D. Rietze

42390P11636

08791 **BLAKELY SOKOLOFF TAYLOR & ZAFMAN** 12400 WILSHIRE BOULEVARD, SEVENTH FLOOR LOS ANGELES, CA 90025



Date Mailed: 06/06/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- · The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a Large Entity

• \$130 Late oath or declaration Surcharge.

08/13/2002 KZEWDIE 00000054 10086410 01 FC:105 ...

130,00 OP

A copy of this notice MUST be returned with the reply.



Initial Patent Examination Division (703) 308-1202 PART 2 - COPY TO BE RETURNED WITH RESPONSE

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PAUL D. RIETZE, ET AL.

Application No.: 10/086,410

Filed: November 20, 2001

For: A COMMON BOOT ENVIRONMENT FOR A

MODULAR SERVER SYSTEM

Art Group:

Examiner:

Assistant Commissioner for Patents Attention: Box Missing Parts Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

In response to the Notice to File Missing Parts mailed June 6, 2002, please find enclosed:

- a duly executed Declaration and Power of Attorney and payment in the amount of \$130.00 for the surcharge of 37 CFR § 1.16(e);

and

- copy of the Notice to file Missing Parts of Application.

If any additional fee is required, please charge Deposit Account No. 02-2666. An extra copy of the Fee Transmittal is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: August 6, 2002

12400 Wilshire Blvd., 7th Floor Los Angeles, California 90025

Gregory D. Caldwell, Reg. No. 39,926

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Attention: Box Missing Parts,

Washington, D.C. 20231 on: August 6, 2002.

08/06/02

Date





PTO/SB/17 (11-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TOPET TO ANCIA	LITTA		Complete if Known				
FEE TRANSM	IIIIA	L	Application Number	10/086,410 November 20, 2001 Paul D. Rietze			
for FY 20	02		Filing Date				
Patent fees are subject to annual	revision.		First Named Inventor				
Applicant claims small entity status. See 37 CFR 1.27.			Examiner Name				
	- 1		Group/Art Unit				
TOTAL AMOUNT OF PAYMENT	(\$)	130.00	Attorney Docket No.	42390P11636			

METHOD OF PAYMENT (check one)			FEE CALCULATION (continued)								
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None				3. ADDITIONAL FEES							
Credit card Order Other None			Large	Large Entity Small E		II Entity					
					Fee	Fee	Fee	Fee	-		
Deposit Account		•	02-2666		Code	(\$)	Code	(\$)	Fee Description	Fee Paid	
Number			02-2000		105	130	205	65	Surcharge - late filing fee or oath	130.00	
Deposit L		~ .	1 CC TD 1 0 T7 C		127	50	227	25	Surcharge - late provisional filing fee or cover sheet.		
Account Blakely, Sokoloff, Taylor & Zafman LLP			139	130	139	130	Non-English specification				
The Commissioner is authorized to: (check all that apply)			147	2,520	147	2,520	For filing a request for ex parte reexamination				
Charge fee(s) indicated below Credit any overpayments			112	920 •	112	920 •	Requesting publication of SIR prior to Examiner action				
Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.			113	1,840*	113	1 840 *	Requesting publication of SIR after				
I <u> </u>				l '''	.,540		.,540	Examiner action			
to the above-identified deposit account			115	110	215	55	Extension for reply within first month				
	FI	EE CA	LCULATION		116	400	216	200	Extension for reply within second month		
1. BASI	C FILIN	IG FE	E		117	920	217	460	Extension for reply within third month		
Large Entity	Small	Entity	_		118	1,440	218	720	Extension for reply within fourth month		
Fee Fee Code (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	128	1,960	228	980	Extension for reply within fifth month		
101 740	201	370	Utility filing fee		119	320	219	160	Notice of Appeal		
101 740	201	165	Design filing fee	\vdash	120	320	220	160	Filing a brief in support of an appeal		
100 550	207	255	Plant filing fee		121	280	221	140	Request for oral hearing		
108 740	208	370	Reissue filing fee	\vdash	138	1,510	138	1,510	Petition to institute a public use proceeding		
114 160	214	80	Provisional filing fee	\Box	140	110	240	55	Petition to revive - unavoidable		
	'	eup	TOTAL (1) [(8)		141	1,280	241	640	Petition to revive - unintentional		
SUBTOTAL (1) (S)			142	1,280	242	640	Utility issue fee (or reissue)				
2. EXTRA CLAIM FEES Extra Fee from			143	460	243	230	Design issue fee				
			Claims below	Fee Paid	144	620	244	310	Plant issue fee		
Total Claims	30	. "	= X	=	122	130	122	130	Petitions to the Commissioner		
Independent Claims	6		=x	=]	123	50	123	50	Processing fee under 37 CFR 1.17(q)		
Multiple Dependen	t			=	126	180	126	180	Submission of Information Disclosure Stmt		
Large Entity	Small		_		581	40	581	40	Recording each patent assignment per property (times number of properties)		
Fee Fee Code (\$)	Fee Code	Fee (\$)	Fee Description		146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))		
103 18	203	9	Claims in excess of 20		140	740	249	370	For each additional invention to be		
102 84	202	42	Independent claims in excess of		149	740	249	370	examined (37 CFR § 1.129(b))		
104 280	204	140	Multiple Dependent claim, if not "Reissue independent claims of		179	740	279	370	Request for Continued Examination (RCE)		
109 84	109 84 209 42 "Reissue independent claims over original patent		169	900	169	900	Request for expedited examination				
110 18 210 9 "Reissue claims in excess of 20 and over original patent			Other fee (specify)			of a design application					
SUBTOTAL (2) (\$)					*Reduced by Basic Fling Fee Paid			SUBTOTAL (3) (\$) 130.00			
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or number previously paid, if greater, For Reissues, see below				<u> </u>		_					

SUBMITTED BY Complete (if applicable)							
Name (Print/Type)	Gregory D. Caldwell	Registration No. (Attorney/Agent)	39,926	Telephone	(503) 684-6200		
Signature	111/		**	Date	08/06/02		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2039.